



Attorney Docket No.: 0553-0369

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

YAMAZAKI, ET AL.

Serial No.: 10/602,980

Filed: June 24, 2003

For: LIGHT-EMITTING DEVICE AND  
METHOD OF MANUFACTURING  
THE SAME

Examiner: Unknown

Art Unit:

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## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby calls the Examiner's attention to documents listed to on the attached forms, which documents may be material to the examination of this application. Copies of the references are enclosed herewith for the Examiner's consideration.

No inference should be drawn that the attached list sets forth a comprehensive investigation of prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

A first Office Action on the merits has not yet been received in this case. Thus, the Applicant does not believe that any fee is required. If it is determined that any fee is required for this Information Disclosure Statement, please charge our Deposit Account No. 50/1039.

Respectfully submitted,

Date: February 4, 2005



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/602,980
Filing Date	June 24, 2003
First Named Inventor	Shunpei Yamazaki
Art Unit	
Examiner Name	Unknown
Attorney Docket Number	0553-0369

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,411,735	10-25-1983	Belani	
		US- 5,047,687	09-10-1991	VanSlyke	
		US- 5,063,327	11-05-1991	Brodie et al.	
		US- 5,232,549	08-03-1993	Cathey et al.	
		US- 5,640,067	06-17-1997	Yamauchi et al.	
		US- 5,684,365	11-04-1997	Tang et al.	
		US- 5,839,456	11-24-1998	Han	
		US- 5,962,962	10-05-1999	Fujita et al.	
		US- 6,037,712	03-14-2000	Codama et al.	
		US- 6,107,158	08-22-2000	Zheng et al.	
		US- 6,320,311 B2	11-20-2001	Nakaya et al.	
		US- 6,403,289 B1	06-11-2002	Tanaka et al.	
		US- 6,475,836 B1	11-05-2002	Suzawa et al.	
		US- 6,608,449 B2	08-19-2003	Fukunaga	
		US- 6,739,931 B2	05-25-2004	Yamazaki et al.	
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

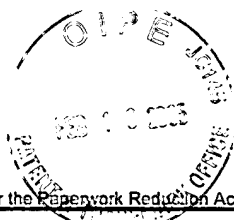
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP-1065723-A2	01-03-2001	Yamazaki		
		EP-0717445-A2	06-19-1996	Tang et al.		
		EP-0781075-A1	06-25-1997	Fujita et al.		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/602,980		
		Filing Date	June 24, 2003		
		First Named Inventor	Shunpei Yamazaki		
		Art Unit			
		Examiner Name	Unknown		
Sheet	2	of	2	Attorney Docket Number	0553-0369

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Office Action concerning U.S. Patent Application No. 10/422,380, mailed on November 12, 2004.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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